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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/790,804

03/03/2004

Takashi Takamura

118577

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25944

7590

02/10/2006

OLIFF & BERRIDGE, PLC
P.O. BOX 19928
ALEXANDRIA, VA 22320

EXAMINER

SEFER, AHMED N

ART UNIT

PAPER NUMBER

2826

DATE MAILED: 02/10/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

66

Office Action Summary	Application No. 10/790,804	Applicant(s) TAKAMURA, TAKASHI	
	Examiner A. Sefer	Art Unit 2826	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 08 December 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-7 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 3-7 is/are allowed.
- 6) ☒ Claim(s) 1 and 2 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
 Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 12/8/2005 has been entered.

Claim Rejections - 35 USC § 102

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claim 1 is rejected under 35 U.S.C. 102(b) as being anticipated by Takayama et al. (“Takayama”) US PG-Pub 2002/0101532.

Takayama discloses (figs. 1-9 and 19 and par. 0161) a solid-state imaging device, comprising: a pixel array having a plurality of pixels 50 arranged in a matrix; and a control unit 51 that controls the pixel array; each of the pixels including: a photo diode D1 that generates carriers depending on an intensity of incident light; an accumulation region C1 that accumulates the generated carriers; an insulated-gate output transistor Q1 that outputs a signal according to a threshold voltage that changes depending on a number of the carriers accumulated in the

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accumulation region; and an insulated-gate clear transistor Q2 that discharges, during a discharging period, the carriers accumulated in the accumulation region and that discharges during an accumulation period, spilled carriers that exceed a capacity of the accumulation region, the accumulation period being a time period in which carriers are accumulated in the accumulation region up to the capacity of the accumulation region.

As for the recited operational limitations of the control unit and the clear transistor, claims directed to an apparatus must distinguish from the prior art in terms of structure rather than function, *In re Schreiber*, 128 F.3d 1473, 1477-78, 44 USPQ2d 1429, 1431-32 (Fed. Cir. 1997); See also *In re Swinehart*, 439 F.2d 210, 212-13, 169 USPQ 226, 228-29 (CCPA 1971; see also *In re Danly*, 263, F.2d 844, 847, 120 USPQ 528, 531 (CCPA 1959).

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claim 1 is rejected under 35 U.S.C. 103(a) as being unpatentable over Dierickx ("Dierickx") US PG-Pub 2001/0011736 in view of Shizukuishi USPN 6,781,178 and Pain et al. ("Pain") US PG Pub 2002/0036300.

Dierickx discloses in figs. 2 and 14 a solid-state imaging device, comprising: a pixel array having a plurality of pixels (par. 0035) arranged in a matrix; and a control unit (par. 0114) that controls the pixel array; each of the pixels including: a photo diode (par. 0063) that

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generates carriers depending on an intensity of incident light; an accumulation region 3 that accumulates the generated carriers; an insulated-gate output transistor 7' / 7 that outputs a signal according to a threshold voltage that changes depending on a number of the carriers accumulated in the accumulation region; and an insulated-gate clear transistor (par. 0073) that discharges the carriers accumulated in the accumulation region, but lacks anticipation of an insulated-gate clear transistor that discharges, during a discharging period, the carriers accumulated in the accumulation region and that discharges during an accumulation period, spilled carriers that exceed a capacity of the accumulation region.

Shizukuishi discloses in figs. 1-4 a solid-state imaging device, comprising: a pixel array having a plurality of pixels arranged in a matrix; and an insulated-gate clear transistor WM that discharges, during a discharging period, the carriers accumulated in the accumulation region and that discharges during an accumulation period, spilled carriers that exceed a capacity of the accumulation region (col. 9, lines 51-62).

Pain discloses (figs. 1, 5 and 6, abstract and par. 0012) a solid-state imaging device, comprising: a pixel array having a plurality of pixels arranged in a matrix; and an insulated-gate clear transistor that discharges, during a discharging period, the carriers accumulated in the accumulation region and that discharges during an accumulation period, spilled carriers that exceed a capacity of the accumulation region, the accumulation period being a time period in which carriers are accumulated in the accumulation region up to the capacity of the accumulation region (par. 0042).

Since Dierickx, Pain and Shizukuishi are all from the same field of endeavor, solid-state imaging devices, Pain's and Shizukuishi's teachings would have been recognized in the pertinent

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art of Dierickx. Therefore, it would have been obvious to one skilled in the art at the time the invention was made to incorporate an insulated-gate clear transistor with Dierickx's device, since that would improve sensitivity and response speed as taught by Shizukuishi. It would have been obvious to incorporate Pain's teachings so as to obtain low noise and low image lag as taught by Pain.

As for the recited operational limitations of the control unit and the clear transistor, claims directed to an apparatus must distinguish from the prior art in terms of structure rather than function, *In re Schreiber*, 128 F.3d 1473, 1477-78, 44 USPQ2d 1429, 1431-32 (Fed. Cir. 1997); See also *In re Swinehart*, 439 F.2d 210, 212-13, 169 USPQ 226, 228-29 (CCPA 1971; see also *In re Danly*, 263, F.2d 844, 847, 120 USPQ 528, 531 (CCPA 1959).

6. Claim 2 is rejected under 35 U.S.C. 103(a) as being unpatentable over Dierickx in view of Shizukuishi and Pain.

Dierickx discloses in figs. 2 and 14 a solid-state imaging device, comprising: a pixel array having a plurality of pixels (par. 0035) arranged in a matrix; and a control unit (par. 0114) that controls the pixel array; each of the pixels including: a photo diode (par. 0063) that generates carriers depending on an intensity of incident light; an accumulation region 3 that accumulates the generated carriers; an insulated-gate output transistor 7' / 7 that outputs a signal according to a threshold voltage that changes depending on a number of the carriers accumulated in the accumulation region; and an insulated-gate clear transistor (par. 0073) that discharges the carriers accumulated in the accumulation region, but lacks anticipation of an insulated-gate clear transistor that discharges spilled carriers in order to prevent carriers from entering the accumulation region.

Shizukuishi discloses in figs. 1-4 a solid-state imaging device, comprising: a pixel array having a plurality of pixels arranged in a matrix; and an insulated-gate clear transistor that discharges spilled carriers in order to prevent carriers from entering the accumulation region (col. 9, lines 51-62); and the substrate region comprising: an upper region 23 (horizontal portion of layer 23) that is formed in a vicinity of the gate electrode of the clear transistor and that has a relatively low impurity concentration; and a lower region 25 that is formed below the upper region and that has a relatively high impurity concentration.

Pain discloses (figs. 1, 5 and 6, abstract and par. 0012) a solid-state imaging device, comprising: a pixel array having a plurality of pixels arranged in a matrix; and an insulated-gate clear transistor that discharges, during a discharging period, the carriers accumulated in the accumulation region and that discharges during an accumulation period, spilled carriers that exceed a capacity of the accumulation region, the accumulation period being a time period in which carriers are accumulated in the accumulation region up to the capacity of the accumulation region (par. 0042).

Since Dierickx, Pain and Shizukuishi are all from the same field of endeavor, solid-state imaging devices, Pain's and Shizukuishi's teachings would have been recognized in the pertinent art of Dierickx. Therefore, it would have been obvious to one skilled in the art at the time the invention was made to incorporate an insulated-gate clear transistor with Dierickx's device, since that would improve sensitivity and response speed as taught by Shizukuishi. It would have been obvious to incorporate Pain's teachings so as to obtain low noise and low image lag as taught by Pain.

As for the recited operational limitations of the control unit and the clear transistor, claims directed to an apparatus must distinguish from the prior art in terms of structure rather than function, *In re Schreiber*, 128 F.3d 1473, 1477-78, 44 USPQ2d 1429, 1431-32 (Fed. Cir. 1997); See also *In re Swinehart*, 439 F.2d 210, 212-13, 169 USPQ 226, 228-29 (CCPA 1971); see also *In re Danly*, 263, F.2d 844, 847, 120 USPQ 528, 531 (CCPA 1959).

7. Claim 2 is rejected under 35 U.S.C. 103(a) as being unpatentable over Dierickx in view of Shizukuishi and Takayama.

Dierickx discloses in figs. 2 and 14 a solid-state imaging device, comprising: a pixel array having a plurality of pixels (par. 0035) arranged in a matrix; and a control unit (par. 0114) that controls the pixel array; each of the pixels including: a photo diode (par. 0063) that generates carriers depending on an intensity of incident light; an accumulation region 3 that accumulates the generated carriers; an insulated-gate output transistor 7'/7 that outputs a signal according to a threshold voltage that changes depending on a number of the carriers accumulated in the accumulation region; and an insulated-gate clear transistor (par. 0073) that discharges the carriers accumulated in the accumulation region, but lacks anticipation of an insulated-gate clear transistor that discharges spilled carriers in order to prevent carriers from entering the accumulation region.

Shizukuishi discloses in figs. 1-4 a solid-state imaging device, comprising: a pixel array having a plurality of pixels arranged in a matrix; and an insulated-gate clear transistor that discharges spilled carriers in order to prevent carriers from entering the accumulation region (col. 9, lines 51-62); and the substrate region comprising: an upper region 23 (horizontal portion of layer 23) that is formed in a vicinity of the gate electrode of the clear transistor and that has a

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relatively low impurity concentration; and a lower region 25 that is formed below the upper region and that has a relatively high impurity concentration.

Takayama discloses (figs. 1-9 and 19, abstract and par. 0161) a solid-state imaging device, comprising: a pixel array 50 having a plurality of pixels arranged in a matrix; and an insulated-gate clear transistor Q2 that discharges, during a discharging period, the carriers accumulated in the accumulation region and that discharges during an accumulation period, spilled carriers that exceed a capacity of the accumulation region, the accumulation period being a time period in which carriers are accumulated in the accumulation region up to the capacity of the accumulation region.

Since Dierickx, Takayama and Shizukuishi are all from the same field of endeavor, solid-state imaging devices, Takayama's and Shizukuishi's teachings would have been recognized in the pertinent art of Dierickx. Therefore, it would have been obvious to one skilled in the art at the time the invention was made to incorporate an insulated-gate clear transistor with Dierickx's device, since that would improve sensitivity and response speed as taught by Shizukuishi. It would have been obvious to incorporate Takayama's teachings since that would reduce power consumption as taught by Takayama.

As for the recited operational limitations of the control unit and the clear transistor, claims directed to an apparatus must distinguish from the prior art in terms of structure rather than function, *In re Schreiber*, 128 F.3d 1473, 1477-78, 44 USPQ2d 1429, 1431-32 (Fed. Cir. 1997); See also *In re Swinehart*, 439 F.2d 210, 212-13, 169 USPQ 226, 228-29 (CCPA 1971; see also *In re Danly*, 263, F.2d 844, 847, 120 USPQ 528, 531 (CCPA 1959).

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to A. Sefer whose telephone number is (571) 272-1921

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nathan Flynn can be reached on (571) 272-1915.

NATHAN J. FLYNN
SUPERVISOR
EXAMINER
TECHNOLOGY CENTER 2800

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

ANS

January 19, 2006